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TRANSMITTAL FORM

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		Application Number	09/891,945
		Filing Date	June 26, 2001
		First Named Inventor	William MARTIN
		Art Unit	2166
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	74577-024

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s) (Sheets)	<input type="checkbox"/> After Allowance communication to Group
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Statement under 37 CFR 3.73 (b), Revocation of Power of Attorney
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	21890	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John C. Stellabotte, Reg. No. 47,969
Signature	<i>John C. Stellabotte</i>
Date	June 24, 2003

CERTIFICATE OF TRANSMISSION/MAILING

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Attorney Docket No. 74577-024

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: William MARTIN
Filing Date : June 26, 2001
Serial No. : 09/891,945
Title: TRADE ALLOCATION

STATUS
REQUEST

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Juan C. Alvar

June 24, 2003

Sir:

Applicant(s) respectfully request to be informed of the status of the above-
identified patent application. In particular, please provide the undersigned attorney with
the name of the Examiner. Also, please provide a projected examination date for this
application.

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Thank you for your assistance in this matter.

Respectfully submitted,
PROSKAUER ROSE LLP
Attorneys for Applicant(s)

By *John C. Stellabotte*
John C. Stellabotte
Reg. No. 47,969

Date: June 24, 2003

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PTO/SB/81 (05-03)

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Application Number	09/891,945
Filing Date	June 26, 2001
First Named Inventor	William MARTIN
Title	TRADE ALLOCATION
Art Unit	2166
Examiner Name	
Attorney Docket Number	74577-024

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Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Gregg I. Goldman, Director and Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch

Signature

Date 6-20-03Telephone 203.719.3099

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

*Total of 1 forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: William MARTINApplication No./Patent No.: 09/891,945 Filed/Issue Date: June 26, 2001Entitled: TRADE ALLOCATIONUBS AG, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or2. an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.**OR**B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:William MARTIN UBS AG

1. From: _____ To: _____

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Reel _____, Frame _____, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached.[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6-28-03Gregg I. GoldmanDateTyped or printed name203.719.3099Telephone numberSignatureDirector and CounselTitle

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REVOCATION OF POWER OF
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Application Number	09/891,945
Filing Date	June 26, 2001
First Named Inventor	William Martin
Art Unit	2166
Examiner Name	
Attorney Docket Number	74577-024

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

 A power of Attorney or Authorization of Agent is submitted herewith.

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I am the:

 Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	Gregg I. Goldman, Director and Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch		
Signature			
Date	6-20-03	Telephone	203.719.3099

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

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